

OCT. 22. 2002 10:17AM

MOFO-FAX CTR X7522

NO. 4194 P. 1/4

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**To: Office of Initial Patent Examinations  
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**From: Erwin J. Basinski**

**Date: October 22, 2002**

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**Comments:**

**U.S. APPLICATION SERIAL NO.: 09/938,328**

**FILING DATE: August 23, 2001**

**Attorney Docket No.: 426882000600**

**Enclosed is a Second Request For A Corrected Filing Receipt, Copy of the marked up Filing Receipt (3 Pages)**

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NO. 4194 P. 2/4

PATENT  
Docket No. 426882000600

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on October 22, 2002.

*Valerie Cohen*

Valerie Cohen

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Chris SCOTTO et al.

Serial No.: 09/938,328

Filing Date: August 23, 2001

For: **METHOD FOR GUIDING A BUSINESS  
AFTER AN INITIAL FUNDING STATE  
TO AN INITIAL PUBLIC OFFERING  
READINESS STATE**

Examiner: To Be Assigned

Group Art Unit: 2162

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**SECOND REQUEST FOR A CORRECTED FILING RECEIPT**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

A Request for a Corrected Filing Receipt for the above-referenced application was faxed to the patent office on July 24, 2002. To date, we have not received a corrected filing receipt in the above matter.

Please furnish the undersigned with a corrected Filing Receipt for the above-identified application. The correction needed is as follows: In the title of the application, please delete "READINGESS" and replace with -- READINESS --. A copy of the marked-up Filing Receipt is attached.

sf-1391155

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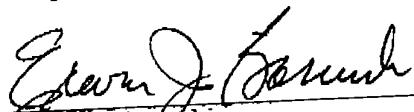
NO. 4194 P. 3/4

In the unlikely event that the transmittal letter is separated from this request and the Patent Office determines that a fee is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952, referencing docket no. 426882000600. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: October 22, 2002

By:



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NO. 4194 P. 4/4

Page 1 of 2



# UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/938,328	08/23/2001	2162	0.00	426882000600	7	20	1

CONFIRMATION NO. 9977

## FILING RECEIPT



\*OC00000006797348\*

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Morrison & Foerster LLP  
Suite 5500  
2000 Pennsylvania Avenue, N.W.  
Washington, DC 20006-1888

SEP 27 2001

Date Mailed: 09/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

### Applicant(s)

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Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 09/26/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

### Title

Method for guiding a business after an initial funding state to an initial public offering ~~readiness~~ <sup>Readiness</sup> state

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